

AGENDA ITEM NO:

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PCC RISK REGISTER

**1. Purpose of Report**

1.1 To update the Police and Crime Panel on the PCC Risk Register.

**2. Background and Main Considerations for the Panel**

2.1 The risk register is now aligned to the lifespan of the Police and Crime Plan (2017-2021) and has been reviewed and updated as per the date of this report.

2.2 All risks are split into two categories – they are either inherent risks and will remain on the register regardless of score, or topical risks which will be removed when they have a score of 10 or under. This will assist in ensuring focus remains on current risks and what additional mitigations or plans are needed to reduce the score and thus be removed from the register.

2.3 The register is a dynamic document and is intended to capture live management of risk and mitigation rather than being a record of all possible risks.

2.4 The register is reviewed monthly on an informal basis by the Deputy Chief Executive and formally by the Commissioner's Monitoring Board (CMB) on a quarterly basis prior to meetings of the Joint Independent Audit Committee and the Police and Crime Panel. The Commissioning and Policy Officer has responsibility for the day-to-day management of the register.

2.5 Owners for each risk are identified and they are responsible for providing updates on mitigation and score.

2.6 The risk appetite remains at 30.

2.7 The PCC Risk Register reviewed on 17<sup>th</sup> August 2017 is attached at Appendix A.

2.8 Attached at Appendix B is a glossary setting out the meaning of the acronyms used and the scoring brackets in deciding whether a risk is high, moderate, acceptable, or minor.

**3. Key Risks to Consider – Inherent**

3.1 The register identifies 10 inherent risks, six are considered as either minor or acceptable, three are considered moderate, and one considered high.

3.2 Details on the four risks considered moderate or high are:

- *Risk I1: Failure to deliver the priorities set out in the Police and Crime Plan due to lack of resources or emerging threats (**moderate** risk)*  
Performance monitoring of the first four months of the new financial year, appears to indicate some decline in performance. Performance continues to be

monitored on a monthly basis at the Force Strategic Delivery and Transformation Board at which the PCC is represented. As detailed in the previous version of the PCC Risk Register, this risk will be reviewed in November 2017, six months on from the publication of the revised formatted risk register.

- *Risk I2: Failure to produce a MTFS that enables the PCC to deliver his P&C Plan priorities and fails to identify delivery of necessary savings (**high** risk)*  
Work has already commenced on the Medium Term Financial Strategy 2018-19 to 2020-21 and significant issues have already been identified with regard to the employment of staff that threatens the ability to deliver the Commissioner's Plan within the financial envelope. As a result of this scores have increased for likelihood, control, and impact and the overall score has increased from 12 to 48. This is now the highest risk on the Commissioner's risk register.
- *Risk I8: ICT services are not resilient and transformational to support effective and efficient policing (**moderate** risk)*  
Governance structures are in place and working effectively but the formal agreement with Wiltshire Council still requires signature. As a result of this the likelihood scoring has been increased from '2' to '3'.
- *Risk I9: Collaborative arrangements do not deliver anticipated benefits due to weak governance and accountability and / or the impacts outweigh business benefits (**moderate** risk)*  
The Commissioner has been appointed Chairman of the Tri-Force Board and is supported by the OPCC Chief Executive. Work will continue to manage the mitigation and the risk will be further reviewed in November 2017 (as stated in the previous version of the risk register).

#### 4. Key Risks to Consider – Topical

4.1 The register identifies 4 topical risks, three are considered moderate and one is considered high.

4.2 Details on the four risks considered moderate or high are:

- *Risk T1: Review of funding formula does not provide additional resources or reduces resources to Wiltshire (**moderate** risk)*  
Although a moderate risk, the score remains unchanged. This is a national issue which the PCC has limited control over. Work on the review of the funding formula was put on hold during purdah and since the formation of the new government, no information on the review has been forthcoming. The Commissioner continues to campaign for increased funding and is engaging with local MPs. A joint seminar with the Force will be held for local MPs in October 2017.
- *Risk T2: Community Policing model does not deliver anticipated benefits*  
Initial evaluation of the Community Policing Team model appears to indicate some difficulty with current resourcing level. As a result of this the likelihood score has been increased from '2' to '3'. This risk will be fully reviewed following receipt of the evaluation report which is expected imminently.
- *Risk T3: Delayed implementation of new communication system as a result of national changeover from Airwave Tetra to new 4G based ESN (**high** risk)*  
Although considered a high risk, the score remains unchanged. This is an event which is likely to occur but one in which the Commissioner has limited control

over as this is a national issue. Regular updates are being received but firm details in relation to timescales and costs are yet to be provided.

- *Risk T4: Wiltshire Police fail to have operational and organisational policies that meet the duties and aims under the Equality Act 2010 (**moderate** risk)*  
The Commissioner is receiving monthly briefings on delivery against the Force improvement plan from the lead officer. As stated in the previous version of the risk register, a full review of this risk will be undertaken following a review to be carried out by Internal Audit in October 2017.

## **5. New / Removed Risks**

- 5.1 No new risks have been identified since the previously circulated version and no risks have been removed from the register.

## **6. Future Reviews of Risk Register**

- 6.1 As stated in paragraph 2.4 above, the risk register will continue to be reviewed informally on a monthly basis prior to formal consideration at a November meeting of the Commissioner's Monitoring Board.

**Naji Darwish**  
**OPCC Deputy Chief Executive**

ACRONYM	MEANING
BAU	Business As Usual
BUSS	Best Use of Stop and Search
CC	Chief Constable
CCG	Clinical Commissioning Group
CFO	Chief Finance Officer
CJS	Criminal Justice System
CMB	Commissioner's Monitoring Board
CSR	Comprehensive Spending Review
DA	Domestic Abuse
ESN	Emergency Services Network
HMIC	Her Majesty's Inspectorate of Constabulary
HO	Home Office
HR	Human Resources
ICT	Information Communication Technology
IPCC	Independent Police Complaints Commission
IT	Information Technology
JSA	Joint Strategic Assessment
JSNA	Joint Strategic Needs Assessment
LA / LAs	Local Authority / Local Authority's
MOJ	Ministry of Justice
MPs	Members of Parliament
MTFS	Medium Term Financial Strategy
NWOW	New Ways of Working
OPCC	Office of Police and Crime Commissioner
P&C Plan	Police and Crime Plan
Panel	Police and Crime Panel
PCC	Police and Crime Commissioner
PCP	Police and Crime Panel
PEEL	Police Effectiveness, Efficiency and Legitimacy Programme
PID	Project Initiation Document
PSQB	Public Service and Quality Board
RJ	Restorative Justice
S&S	Stop and Search
SA	Sexual Abuse
SCT	Senior Command Team
WC	Wiltshire Council
WCJB	Wiltshire Criminal Justice Board
YOT	Youth Offending Team

**RISK KEY**

risk score 30+
risk score 18-29
risk score 8-17
risk score 1-7